



# CHALKER FLORES, LLP

INTELLECTUAL PROPERTY LAW

## Fax

DATE: November 29, 2004

TO	Box / Fax No.	RE:
US Patent and Trademark Office	703-748-4060	Serial No. 10/828,935 Filing Date: 4/21/04 Inventor: Gorenstein
FROM	Edwin Flores eflores@chalkerflores.com	
DIRECT LINE	214-866-0001	
DIRECT FAX	214-866-0010	
CLIENT/MATTER NO.	UTMB:1024	
TOTAL PAGES (including cover)	8	
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Response to Notice to comply 2 pages  
Notice to Comply 2 pages  
Acknowledgement Receipt 3 pages

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Thank you,

Edwin Flores, Reg. No. 38,453

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PAGE 1/8 \* RCVD AT 11/29/2004 2:58:49 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-2/1 \* DNS:7464060 \* CSID:2148660010 \* DURATION (mm:ss):02:10

Appl. No. 10/828,935  
 Response dated: Nov. 29, 2004  
 Reply to Notice to Comply of Sept. 27, 2004



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. : 10/828,935 Confirmation No. 5115  
 Applicant : David G. Gorenstein  
 Filed : April 21, 2004  
 TC/A.U. :  
 Examiner :  
 Docket No. : UTMB:1024  
 Customer No. : 34,725

## Certificate of Facsimile Transmission 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on Nov. 29, 2004 to the Fax Center at (703)-746-2060.

Edwin S. Flores

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 P.O. Box 1450  
 Alexandria, VA 22313-1450

**FOLLOW UP RESPONSE TO COMPLY WITH REQUIREMENTS FOR A PATENT APPLICATION CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE**

Sir:

This is in response to a Notice to Comply with Requirements for a Patent Application Containing Nucleotide Sequence and/or Amino Acid Sequence dated September 27, 2004.

The Sequence Listing was filed electronically on November 20, 2004, enclosed is a copy of the Acknowledgement Receipt. Enclosed please find the following documents:

- |                             |                           |
|-----------------------------|---------------------------|
| (X) Acknowledgement Receipt | Dated September 20, 2004. |
| (X) Copy of the Notice      | Dated September 27, 2004. |

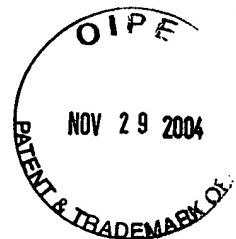
Appl. No. 10/828,935  
Response dated: Nov. 29, 2004  
Reply to Notice to Comply of Sept. 27, 2004

Dated: November 29, 2004.

Respectfully submitted,



Edwin S. Flores  
Attorney for Applicant  
Registration No.: 38,453



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ESF/cps



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/828,935	04/21/2004	David G. Gorenstein	UTMB:1024

CONFIRMATION NO. 5115

## FORMALITIES LETTER



\*OC000000013914101\*

34725  
 CHALKER FLORES, LLP  
 12700 PARK CENTRAL, STE. 455  
 DALLAS, TX 75251

Docketed  
*Notice to Comply*  
*Date 11/27/04 2mo.*

Date Mailed: 09/27/2004

NOV 29 2004

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
 CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
 DISCLOSURES*Filing Date Granted*

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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 Alexandria VA 22313-1450

*A copy of this notice MUST be returned with the reply.*

11/29/2004 13:57 2148660010

CHALKER FLORES LLP

PAGE 05/08

Page 2 of 2

Meagan W.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Acknowledgement Receipt

Page 1 of 2

**UNITED STATES PATENT AND TRADEMARK OFFICE  
ACKNOWLEDGEMENT RECEIPT**

Electronic Version 1.1

Stylesheet Version v1.1.1

NOV 29 2004

Title of Invention	Bead Bound Combinatorial Oligonucleoside Phosphorothioate and Phosphorodithioate Aptamer
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Submission Type: BIO Sequence Filing

Application Number: 10/828935 \*10/828935\*

EFS ID: 72897

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	5115
USPTOEFSNotice	For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number:1(866) 217-9197 Website: <a href="http://www.uspto.gov/ebc/">http://www.uspto.gov/ebc/</a>

First Named Applicant: David Gorenstein

Attorney Docket Number: UTMB:1024

Timestamp: 2004-11-20 10:47:33 EDT

From: us

File Listing:

Doc. Name	File Name	Size (Bytes)
us-bio-seq-trans	UTMB1024rev-usbios.xml	549
us-bio-seq-trans	us-bio-seq-trans.dtd	2905
us-bio-seq-trans	us-bio-seq-trans.xsl	6567
sequence-listing	UTMB1024rev.ST25.txt	24960
package-data	UTMB1024rev-pkda.xml	1946
package-data	package-data.dtd	27025
package-data	us-package-data.xsl	19263
Total files size		83215

Message Digest: 73c96b2e03bf261f4b4ddaf024faae24f5c6e4dc

Digital Certificate Holder cn=Edwin Stuart Flores,ou=Registered

Acknowledgement Receipt

Page 2 of 2

Name: **Attorneys,ou=Patent and Trademark  
Office,ou=Department of Commerce,o=U.S.  
Government,c=US**

Biosequence Transmittal

**AMINO ACID AND/OR NUCLEOTIDE SEQUENCE LISTING SUBMISSION**

Electronic Version v13

Stylesheet Version v01

O Page 1 of 1

NOV 29 2004

PATENT &amp; TRADEMARK OFFICE

This is a request for filing the electronic Computer Readable Form copy of a sequence listing via the Electronic Filing System for a patent application under 37 CFR 1.821-1.825 instead of via one of the physical media specified in 37 CFR 1.824(c).

This communication has an attached file which is an electronic copy of the amino acid and/or nucleotide sequence listing for the previously mentioned United States patent application.

The electronic copy submitted herewith is the Computer Readable Form (CRF), as required by 1.821(e).

Any applicable fees associated with the filing of the electronic copy have been paid.

This submission does not go beyond the disclosure of the application as originally filed (i.e., contains no new matter). It may be in addition to an original CRF, filed to comply with the sequence rules.

This submission in electronic form comprises only the CRF of 37 CFR 1.821(e). I acknowledge that I am responsible for all additional requirements of amino acid and/or nucleotide sequence listing submissions as specified in 37 CFR 1.821 – 1.825.

This submission does not go beyond the disclosure of the application as originally filed (i.e., contains no new matter), and/or is in addition to an original CRF filed to comply with the sequence rules. If not made to comply with an originally filed CRF, it is identical to the sequences disclosed in the application as originally filed and/or the paper copy of the sequence listing as originally filed.

I hereby certify that this correspondence is being transmitted to the United States Patent and Trademark Office on the following date: 2004-11-20

Name: Edwin Flores

Electronic Signature Mark: /Edwin Flores/

Attachment description:

Revised Sequence Listing

Compression software used: